



2100

#2  
11-01-01Loc date 9/6/01  
PATENT 2152

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Rothwell et al.

Application No. 09/916,599

Filed: 07/26/2001

For: INTELLIGENT SPAM DETECTION  
SYSTEM USING STATISTICAL ANALYSIS

Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No. NAI1P022/01.106.01

Date: August 30, 2001

RECEIVED

SEP 21 2001

Technology Center 2100

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, DC 20231 on

August 30, 2001.

Signed:

Erica L. Mann

Erica L. Mann

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56 AND 1.97(b)Commissioner for Patents  
Washington, DC 20231

Dear Sir:


The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.



This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1351 (Order No. NAI1P022/01.106.01).

Respectfully submitted,  
Silicon Valley IP Group

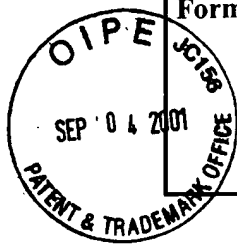
  
Kevin J. Zilka  
Reg. No. 41,429

P.O. Box 721120  
San Jose, CA 95172-1120  
Telephone: (408) 971-2573

**RECEIVED**

SEP 21 2001

Technology Center 2100



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. NAIIP022/01.106.01 Applicant: Rothwell et al. Filing Date: 07/26/2001	Application No.: 09/916,599  Group Art Unit: Unassigned
--	---	---

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	6,161,130	12/12/2000	Horvitz et al.	709	206	06/23/1998
	B	6,052,709	04/18/2000	Paul	709	202	12/23/1997
	C	5,619,648	04/08/1997	Canale et al.	395	200.01	11/30/1994
	D	5,623,600	04/22/1997	Ji et al.	395	187.01	09/26/1995
	E	5,509,120	04/16/1996	Merkin et al.	395	186	11/30/1993
	F	5,121,345	06/09/1992	Lentz	364	550	10/10/1990
	G						
	H						
	I						
	J						
	K						

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	L	99/67731	29.12.99	WO	G06F	17/60		
	M							
	N							
	O							
	P							

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	
	S	
	T	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

SEP 21 2001

Technology Center 2100